

APPRAISALS AND PROHIBITED TRANSACTIONS CERTIFICATION FORM

NAME OF EMPLOYER: _____

PLAN TYPE: _____

PLAN YEAR END: _____

This is to certify that the attached Trust Schedules were prepared by:

(print or type name)

I further certify:

RE: MARKET VALUE APPRAISALS (CHOOSE ONE OF THE FOLLOWING) –

- That the plan has no assets whose market value cannot be readily ascertained and documented; or
- That the plan has assets whose market value cannot be readily ascertained and documented but I have chosen not to get independent third party appraisals. I understand that by doing so, I assume all responsibility for any adverse consequences; or
- That the plan assets reported reflect the fair market value as determined by an independent third party appraisal.

RE: PROHIBITED TRANSACTIONS & UNRELATED BUSINESS TAXABLE INCOME –

That this trust (was) (was not) a party to a prohibited transaction this year, and

That this trust (did) (did not) incur unrelated business taxable income this year.

(Attach evidence of any independent third party appraisals along with details of any prohibited transaction or unrelated business taxable income items.)

TRUSTEE: _____
(PRINT NAME)

SIGNATURE: _____

DATE: _____

PLEASE NOTE: WE CANNOT COMPLETE THE ADMINISTRATION OF YOUR PLAN(S) WITHOUT A SIGNED COPY OF THIS FORM IN OUR FILES.